

Sanctions Due Diligence Questionnaire

Cefor Sanctions Due Diligence Questionnaire – 31 May 2024

Below is a non-exhaustive list of key questions likely to arise during the due diligence process in respect of the insurance policy, call, transfer, or shipment of cargo.

The obligation to ascertain the legality of the trade lies with the Client. The Client is further, responsible for ensuring that the trade does not expose insurers and/or reinsurers to any sanctions risk. Failure by the Client to comply with sanctions imposed by the European Union, the United Kingdom, the United Nations, the United States, or any other relevant sanctions regime - including the state/country where insurers and/or their reinsurers have their registered office and/or permanent place of business - may have implications for cover under the relevant insurance policy.

Insurance cover is always subject to applicable policy conditions. The provision of below information does not prejudice the right of the insurer to rely on the sanctions clause of the insurance policy.

Policyholder – Legal Entity Name and Address	
Name of Vessel and IMO Number	
Port of Loading & Country	
If loaded via Ship-To-Ship Transfer, please provide Name and IMO number of the other Vessel	
Estimated Date of Loading	
Port of Discharge & Country	

Estimated Date of Discharge	
Name and Description of the Goods/Cargo (including HS/CN code)	
Country of Origin of Goods/Cargo	
End Destination of the Goods/Cargo	
End use of the Goods/Cargo	
<p>Confirm the identities and nationalities for each of the following:</p> <ul style="list-style-type: none"> • Provide as much detail as possible, including legal entity name and registered address. • If a field is not applicable, please explain why. 	
1. Load Port Agent(s)	
2. Discharge Port Agent(s)	
3. Port Operator/Authority	
4. Shipper	
5. Consignee	
6. Charterer	
7. Supplier <ul style="list-style-type: none"> • If the supplier is located in a country other than the country of loading, 	

please include details of any <u>local</u> supplier(s).	
8. Seller	
9. Buyer	
10. End User	
<p>Are there any known aspects of this trade that could be a violation of sanctions imposed by the EU, UK, UN or US?</p> <ul style="list-style-type: none"> • If so, please specify. 	
<p>Is the shipment covered by a General or Specific Licence issued by the EU, UK, UN or US?</p> <ul style="list-style-type: none"> • If so, please provide evidence. 	

Notes:

1. 'Certificates of Origin', 'Itemised Price Information for Ancillary Costs' and other supporting documents in relation to the information provided above must be disclosed to the insurer upon request or, as necessary, pursuant to applicable sanctions/compliance laws and regulations.
2. The insurance policy contains a sanctions clause equivalent to JH2010/009, Nordic Marine Insurance Plan Cl. 2-17, or similar.